Unsupervised Explanation Generation via Correct Instantiations

Sijie Cheng^{1,2}, Zhiyong Wu¹, Jiangjie Chen², Zhixing Li³, Yang Liu⁵, Lingpeng Kong^{1,4}

 ¹Shanghai Artificial Intelligence Laboratory
 ²Fudan University ³Full Truck Alliance
 ⁴The University of Hong Kong ⁵Tsinghua University Email: sjcheng20@fudan.edu.cn

Explainable Natural Language Processing

| Instance | Explanation | | |
|---|---|--|--|
| <i>Premise:</i> A white race dog wearing the number eight runs on the track. <i>Hypothesis:</i> A white race dog runs around his yard. <i>Label:</i> contradiction | (highlight) <i>Premise:</i> A white race dog wearing the number eight runs on the track. <i>Hypothesis:</i> A white race dog runs around his yard. | | |
| | (free-text) A race track is not usually in someone's yard. | | |
| <i>Question:</i> Who sang the theme song from Russia With Love? <i>Paragraph:</i> The theme song was composed by Lionel Bart of Oliver! fame and sung by Matt Monro <i>Answer:</i> Matt Monro | (structured) Sentence selection: (not shown) Referential equality: "the theme song from russia with love" (from question) = "The theme song" (from paragraph) Entailment: X was composed by Lionel Bart of Oliver! fame and sung by ANSWER. ⊢ ANSWER sung X | | |

Table 1: Examples of three explanation types.

Wiegreffe, S. and Marasović, A., 2021. Teach me to explain: A review of datasets for explainable nlp. arXiv preprint arXiv:2102.12060.

Free-text Explanation for False Statements

| False Statement | Explanation | Conflict Point |
|---------------------------------------|--|-----------------------|
| John put an elephant into the fridge. | An elephant is much bigger than a fridge. | Volume |
| He drinks apple. | Apple can not be drunk. | Function |
| Jeff ran 100,000 miles today. | No way can someone run 100,000 miles in a day. | Speed |
| A giraffe is a person. | A giraffe is an animal, not human. | Property |
| Europe is in France. | Europe is a continent but france is a country. | Geography |

Table 2: Examples and their exact conflict points to explain in ComVE task.

• Find the **Conflict Point** where the false statement contradicts the commonsense knowledge.

Wang, C.; Liang, S.; Jin, Y.; Wang, Y.; Zhu, X.; and Zhang, Y. 2020. SemEval-2020 Task 4: Commonsense Validation and Explanation. In SEMEVAL.



- (Supervision) Manually constructing a dataset with conflict points for training is laborintensive and difficult to scale.
- (Explicit Knowledge) Exact triples of conflict points are rare in the external knowledge graph due to their tacitness and diversity.



Provide **guided hints** as prompts to **implicitly** elicit Pre-trained Language Models (PLMs) to reason the conflict point automatically.

Framework

- Phase1 (Correct Instantiations Generation) → Commonality.
- Phase2 (Explanation Generation)
 → Contrast.

The PLMs can implicitly induce the conflict point better to generate explanations.



I. Correct Instantiations Generation



Figure 1: Our proposed two-phase framework NEON.

Phase1: Correct Instantiations Generation

• In-context Learning (Few-shot)

Task: Based on the incorrect statement, generate the correct statement. /* Example 1 */ Incorrect statement: **He drinks apple.** Correct statement: **He drinks milk.** /* Test data */ Incorrect statement: **John put an elephant into the fridge.** Correct statement:

Table 3: The prompt instances of in-context learning in the first phase.

- Constrained Text Generation: CGMH (Unsupervised)
 - Step 1: Where to Edit Conflict Detection.

$$S_{\text{PPL}}^{i} = \frac{\text{PPL}(\boldsymbol{x})}{\text{PPL}(\boldsymbol{x} \setminus \{x^{i}\})}$$

• Step 2: Edit with What – Modification Action.

$$S_{\text{Fluency}} = \prod_{i=1}^{n} P_{\text{LM}}(h^{i}|h^{< i})$$

Miao, N.; Zhou, H.; Mou, L.; Yan, R.; and Li, L. 2019. Cgmh: Constrained sentence generation by metropolis-hastings sampling. In Proceedings of the AAAI Conference on Artificial Intelligence, volume 33, 6834–6842.

Phase2: Unsupervised Explanation Generation

- In-context Learning (Zero-shot)
 - To purely detect the ability of implicit induction in off-the-shelf PLMs, we explore the model performance without any signals rather than supervised setup.

Given the facts: **1. John put a turkey into the fridge, 2. John put a peach into the fridge, 3. John put a bowl into the fridge,** Explain the following statement based on its difference with the facts: **John put an elephant into the fridge.** The explanation is:

Table 4: The prompt instances of in-context learning in the second phase.

Experiments

- Model: OPT-175B.
- **Datasets:** ComVE & e-SNLI.

| Dataset Preferred Explanation (%) | | | | κ | |
|-----------------------------------|----------------|----------------|----------------|--------------|--|
| Dutuset | Original | Tie | NEON | | |
| ComVE e-SNLI | 20.33 18.67 | 42.67 41.67 | 37.00 39.67 | 0.47 0.39 | |
| Conflict Point (%) | | | | | |
| ComVE e-SNLI | 19.33 15.67 | 46.00 53.67 | 34.67 30.67 | 0.45 0.36 | |

Table 5: The results of manual evaluation.

| Method | ComVE | | | e-SNLI | | | | |
|------------------------|-------|-------|-----------|--------|------|-------|-----------|--------|
| | BLEU | ROUGE | BERTScore | S-BERT | BLEU | ROUGE | BERTScore | S-BERT |
| Random | 1.47 | 17.81 | 46.21 | 42.54 | 4.94 | 24.23 | 50.73 | 43.05 |
| Retrieval-BM25 | 1.51 | 17.23 | 45.18 | 38.68 | 4.29 | 23.31 | 49.80 | 42.09 |
| Retrieval-SBERT | 1.69 | 18.55 | 46.64 | 45.47 | 4.64 | 24.45 | 51.16 | 48.22 |
| Original | 1.88 | 20.21 | 48.68 | 51.82 | 4.71 | 25.38 | 50.92 | 46.39 |
| Ground-truth | 2.48 | 21.25 | 49.66 | 55.21 | 5.57 | 25.62 | 51.96 | 49.19 |
| Top-1 | 2.42 | 21.42 | 49.86 | 55.03 | 6.03 | 25.87 | 51.97 | 48.51 |
| NEON w/ CGMH | 3.37 | 20.10 | 48.92 | 49.50 | 4.67 | 26.04 | 51.04 | 48.42 |
| NEON w/ In-context | 3.39 | 22.50 | 51.50 | 54.52 | 6.20 | 27.28 | 53.87 | 51.69 |

Table 6: The results of automatic evaluation.



- Quality of Generated Instantiations
 - Automatic Evaluation: fine-tune RoBERTa-Large on training datasets as binary classifiers with 88.97 and 84.25 accuracies.

| Dataset NEON | | Human Generated | |
|--------------|-------|-----------------|--|
| ComVE | 70.28 | 89.60 | |
| e-SNLI | 92.30 | 97.84 | |

Table 7: The results of automatic evaluation.

• **Manual Evaluation:** i. Acceptability; ii. Grammaticality; iii. Factuality; iv. Diversity; v. Commonality.

| Dataset | Acc. | Gram. | Fact. | Diver. | Common. |
|---------|-------|-------|-------|--------|---------|
| ComVE | 72.80 | 2.97 | 2.66 | 2.63 | 2.56 |
| e-SNLI | 81.67 | 2.88 | 2.72 | 2.89 | 2.66 |

Table 8: The results of manual evaluation.



• Effects on Instantiations Number.

| # | BLEU | ROUGE | BERTScore | S-BERT |
|----|------|-------|-----------|--------|
| 1 | 2.42 | 21.03 | 49.22 | 52.70 |
| 2 | 2.61 | 21.14 | 49.22 | 52.56 |
| 3 | 3.32 | 21.32 | 49.46 | 51.79 |
| 4 | 3.29 | 22.26 | 50.97 | 54.74 |
| 5 | 3.39 | 22.50 | 51.50 | 54.52 |
| 6 | 3.01 | 21.49 | 49.11 | 49.06 |
| 7 | 3.48 | 21.57 | 49.45 | 49.66 |
| 8 | 3.28 | 21.27 | 49.66 | 49.94 |
| 9 | 3.16 | 21.70 | 49.91 | 48.73 |
| 10 | 3.39 | 21.21 | 49.94 | 49.47 |

Table 9: Model performance with increasing number of ensemble instantiations in the ComVE task.

• Demonstration of Generality

- Generate explanation for correct statements in the e-SNLI task.
- Directly use the generated correct instantiations as guided hints.

| Method | BLEU | ROUGE | BERTScore | S-BERT |
|----------|-------|-------|-----------|---------------|
| Original | 8.11 | 29.73 | 52.66 | 53.18 |
| Top-1 | 9.22 | 28.64 | 52.64 | 50.81 |
| NEON | 11.18 | 31.69 | 55.30 | 56.33 |

Table 10: Model performance of generating explanations for correct statements in the e-SNLI task.

Thanks!

Sijie Cheng